Hardness of equations

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Hardness of equations over finite solvable groups under the exponential time hypothesis

Armin Weiß

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ICALP 2020

X + X = 1



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X + X = 1X + Y = Y + X



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Proof

$$X + X = 1$$
$$X + Y = Y + X$$
$$X + X + X = 1 + Y + Y$$

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Proof

$$X + X = 1$$
$$X + Y = Y + X$$
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Equations over an arbitrary group G:

$$aXY^{-1} = bXaY$$

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$$X + X = 1$$
$$X + Y = Y + X$$
$$X + X + X = 1 + Y + Y$$

Equations over an arbitrary group G:

$$aXY^{-1} = bXaY$$

 $W.\,I.\,o.\,g.$ of the form

 $\alpha = 1$

for an expression $\alpha \in (\mathcal{G} \cup \mathcal{X} \cup \mathcal{X}^{-1})^*$ (with variables \mathcal{X}).

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The EQN-SAT(G) problem:

Constant:	The group <i>G</i>
Input:	an expression $lpha \in ({ extsf{G}} \cup { extsf{X}} \cup { extsf{X}}^{-1})^*$
Question:	\exists an assignment $\sigma: \mathcal{X} ightarrow G$ s.t. $\sigma(lpha) = 1$?

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Constant:	The group <i>G</i>
Input:	an expression $lpha \in ({\sf G} \cup {\cal X} \cup {\cal X}^{-1})^*$
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The EQN-ID(G) problem:

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The EQN-SAT(G) problem:

Constant:	The group <i>G</i>
Input:	an expression $lpha \in ({\sf G} \cup {\cal X} \cup {\cal X}^{-1})^*$
Question:	\exists an assignment $\sigma : \mathcal{X} \to G$ s.t. $\sigma(\alpha) = 1$?

The EQN-ID(G) problem:

In many infinite groups these problems are undecidable!

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Proof

In finite groups EQN-SAT(G) is in NP:

- ▶ Input: $\alpha \in (\mathcal{G} \cup \mathcal{X} \cup \mathcal{X}^{-1})^*$,
- ▶ for each variable $X \in \mathcal{X}$ that appears in α , guess $\sigma(X) \in G$,

• evaluate $\sigma(\alpha)$.

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Proof

In finite groups EQN-SAT(G) is in NP:

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and EQN-ID(G) is in coNP.



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Finer classification with respect to complexity?

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and EQN-ID(G) is in coNP.

Finer classification with respect to complexity?

Observation [Variable]

 $\operatorname{EQN-ID}(G) \leq^{\mathsf{P}}_{\mathcal{T}} \operatorname{EQN-SAT}(G)$

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Proof

In finite groups EQN-SAT(G) is in NP:

- ▶ Input: $\alpha \in (\mathcal{G} \cup \mathcal{X} \cup \mathcal{X}^{-1})^*$,
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Finer classification with respect to complexity?

Observation

 $\operatorname{EQN-ID}(G) \leq^{\mathsf{P}}_{T} \operatorname{EQN-SAT}(G)$

▶ Input: $\alpha \in (\mathcal{G} \cup \mathcal{X} \cup \mathcal{X}^{-1})^*$,

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Proof

In finite groups EQN-SAT(G) is in NP:

- ▶ Input: $\alpha \in (\mathcal{G} \cup \mathcal{X} \cup \mathcal{X}^{-1})^*$,
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Finer classification with respect to complexity?

Observation

$\operatorname{EQN-ID}(G) \leq^{\mathsf{P}}_{\mathcal{T}} \operatorname{EQN-SAT}(G)$

▶ Input: $\alpha \in (\mathcal{G} \cup \mathcal{X} \cup \mathcal{X}^{-1})^*$,

▶ for each $g \in G \setminus 1$ check whether αg^{-1} is satisfiable,

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Proof

In finite groups EQN-SAT(G) is in NP:

- ▶ Input: $\alpha \in (\mathcal{G} \cup \mathcal{X} \cup \mathcal{X}^{-1})^*$,
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$\operatorname{EQN-ID}(G) \leq^{\mathsf{P}}_{\mathcal{T}} \operatorname{EQN-SAT}(G)$

- ▶ Input: $\alpha \in (\mathcal{G} \cup \mathcal{X} \cup \mathcal{X}^{-1})^*$,
- ▶ for each $g \in G \setminus 1$ check whether αg^{-1} is satisfiable,
- \blacktriangleright if yes, then α is not an identity.

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Armin Weiß Overview Theorem (Goldmann, Russell, 2002) ▶ If G is nilpotent, then $EQN-SAT(G) \in P$. EQN-SAT(G) EQN-ID(G) in P (actually ACC^{0}) in P (actually ACC^{0}) nilpotent

Armin Weiß Overview Theorem (Goldmann, Russell, 2002) ▶ If G is nilpotent, then $EQN-SAT(G) \in P$. ▶ If G is non-solvable, then EQN-SAT(G) is NP-complete. EQN-SAT(G) EQN-ID(G) in P (actually ACC^{0}) in P (actually ACC^{0}) nilpotent non-solvable NP-complete

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Theorem (Horváth, Lawrence, Mérai, Szabó, 2007)				Overview Groups and
If G is non-solvable, then $EQN-ID(G)$ is $coNP$ -complete.				commutators
				Main Result
				Proof
				Conclusion
	EQN-SAT(G)	EQN-ID(G)		
nilpotent	in P (actually ACC ⁰)	in P (actually ACC ⁰)		
			_	
non-solvable	NP-complete	coNP-complete	_	

			Armin Weiß
Theorem (Horváth, Lawrence, Mérai, Szabó, 2007)			Overview
If G is non-solvable, then $EQN-ID(G)$ is $coNP$ -complete.			Groups and commutators
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	1		Conclusion
	EQN-SAT(G)	EQN-ID(G)	
nilpotent	in P (actually ACC ⁰)	in P (actually ACC ⁰)	
	in NP	in coNP	
solvable,			
non-nilpotent			
non-solvable	NP-complete	coNP-complete	

Armin Weiß Overview Theorem (Földvári, Horváth 2020) ▶ EQN-SAT($Q \rtimes A$) \in P for Q a p-group, A abelian. EQN-SAT(G) EQN-ID(G) in P (actually ACC^{0}) in P (actually ACC^{0}) nilpotent in NP in coNP solvable. p-group \rtimes abelian in P non-nilpotent non-solvable NP-complete coNP-complete

Theorem (Földvári, Horváth 2020)

- ▶ EQN-SAT($Q \rtimes A$) ∈ P for Q a p-group, A abelian.
- ▶ EQN-ID($N \rtimes A$) ∈ P for N nilpotent, A abelian.

	EQN-SAT(G)	EQN-ID(G)
nilpotent	in P (actually ACC ⁰)	in P (actually ACC ⁰)
solvable, non-nilpotent	in NP <i>p-group</i> ⋊ <i>abelian</i> in P	in coNP <i>nilpotent</i> ⋊ <i>abelian</i> in P
non-solvable	NP-complete	coNP-complete

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Theorem (Földvári, Horváth 2020)

- ▶ EQN-SAT($Q \rtimes A$) ∈ P for Q a p-group, A abelian.
- ▶ EQN-ID($N \rtimes A$) ∈ P for N nilpotent, A abelian.

	EQN-SAT(G)	EQN-ID(G)
nilpotent	in P (actually ACC ⁰)	in P (actually ACC ⁰)
	in NP	in coNP
solvable, non-nilpotent	<i>p-group</i> \rtimes <i>abelian</i> in P	<i>nilpotent</i> ⋊ <i>abelian</i> in P
	???	???
non-solvable	NP-complete	coNP-complete

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For showing NP-completeness: reduce 3SAT to EQN-SAT(G) \rightarrow need to encode conjunctions/disjunctions



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For showing NP-completeness: reduce 3SAT to EQN-SAT(G) \rightarrow need to encode conjunctions/disjunctions

Usually: encode false by 1 and true by $\neq 1 \in G$.



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For showing NP-completeness: reduce 3SAT to EQN-SAT(G) \rightarrow need to encode conjunctions/disjunctions

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Consider the following problem:

There are two nails in the wall.



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Groups and commutators

For showing NP-completeness: reduce 3SAT to EQN-SAT(G) \rightarrow need to encode conjunctions/disjunctions

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Consider the following problem:

- There are two nails in the wall.
- You have a rope and a picture hanging on the rope.



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Groups and commutators

For showing NP-completeness: reduce 3SAT to EQN-SAT(G) \rightarrow need to encode conjunctions/disjunctions

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Consider the following problem:

- There are two nails in the wall.
- You have a rope and a picture hanging on the rope.
- You want to wrap the rope around the nails such that, if you remove one of the nails, the picture falls down.



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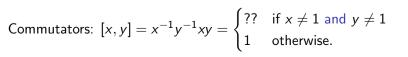
Groups and commutators

For showing NP-completeness: reduce 3SAT to EQN-SAT(G) \rightsquigarrow need to encode conjunctions/disjunctions

Usually: encode false by 1 and true by $\neq 1 \in G$.

Consider the following problem:

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d 3 1

 $S_{3} = \text{group of permutations over three elements} \\ = \text{symmetry group of a regular triangle} \\ = \left\{1, \underbrace{(12)}_{s}, (13), (23), \underbrace{(123)}_{d}, (132)\right\}$

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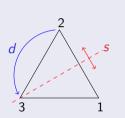
Proof

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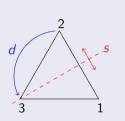


 $S_{3} = \text{group of permutations over three elements}$ = symmetry group of a regular triangle = $\{1, (12), (13), (23), (123), (132)\}$ = $C_{3} \rtimes C_{2}$



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 $S_{3} = \text{group of permutations over three elements}$ = symmetry group of a regular triangle = $\{1, (\underline{12}), (13), (23), (\underline{123}), (132)\}$ = $C_{3} \rtimes C_{2}$ = $F(\{s, d\}) / \{s^{2} = d^{3} = 1, ds = sd^{2}\}$

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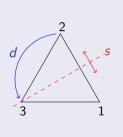
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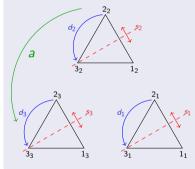
Proof

Conclusion



 $S_{3} = \text{group of permutations over three elements}$ = symmetry group of a regular triangle = $\{1, (12), (13), (23), (123), (132)\}$ = $C_{3} \rtimes C_{2}$ = $F(\{s, d\}) / \{s^{2} = d^{3} = 1, ds = sd^{2}\}$

$$\rightsquigarrow$$
 $[d,s] = d^{-1}s^{-1}ds = d^{-1}d^{-1} = d$



$$G^* = G_{648,705} = (S_3 \times S_3 \times S_3) \rtimes C_3$$

with $a(x, y, z) = (z, x, y)a$

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The Fitting length

Commutators:
$$[x, y] = x^{-1}y^{-1}xy$$
 and $[x_1, \dots, x_k] = [[x_1, \dots, x_{k-1}], x_k]$

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Commutators:
$$[x, y] = x^{-1}y^{-1}xy$$
 and $[x_1, ..., x_k] = [[x_1, ..., x_{k-1}], x_k]$

G is nilpotent of class c if $\forall x_1, \ldots, x_{c+1} \in G : [x_1, \ldots, x_{c+1}] = 1$.



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Commutators:
$$[x,y]=x^{-1}y^{-1}xy$$
 and $[x_1,\ldots,x_k]=ig[[x_1,\ldots,x_{k-1}],x_kig]$

G is nilpotent of class c if $\forall x_1, \ldots, x_{c+1} \in G : [x_1, \ldots, x_{c+1}] = 1$.

The Fitting length FitLen(G) (nilpotent length) of G is the smallest k such that there are normal subgroups

$$\mathsf{L} = \mathsf{N}_0 \lhd \mathsf{N}_1 \lhd \cdots \lhd \mathsf{N}_k = \mathsf{G}$$

with N_i/N_{i-1} nilpotent for all $i = 1, \ldots, k$.

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Proof

Commutators:
$$[x,y] = x^{-1}y^{-1}xy$$
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G is nilpotent of class c if $\forall x_1, \ldots, x_{c+1} \in G : [x_1, \ldots, x_{c+1}] = 1$.

The Fitting length FitLen(G) (nilpotent length) of G is the smallest k such that there are normal subgroups

$$1 = N_0 \lhd N_1 \lhd \cdots \lhd N_k = G$$

with N_i/N_{i-1} nilpotent for all $i = 1, \ldots, k$.

Example

 $\mathsf{FitLen}(S_3) = 2: \ 1 \lhd C_3 \lhd S_3 \text{ with } S_3/C_3 = C_2$

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Commutators:
$$[x,y]=x^{-1}y^{-1}xy$$
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with N_i/N_{i-1} nilpotent for all $i = 1, \ldots, k$.

Example

FitLen(S_3) = 2: 1 \triangleleft $C_3 \triangleleft$ S_3 with $S_3/C_3 = C_2$

 $\mathsf{FitLen}(G^*) = 3: \ 1 \lhd (C_3 \times C_3 \times C_3) \lhd (S_3 \times S_3 \times S_3) \lhd G^*$

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Proof

Commutators:
$$[x,y]=x^{-1}y^{-1}xy$$
 and $[x_1,\ldots,x_k]=ig[[x_1,\ldots,x_{k-1}],x_kig]$

G is nilpotent of class c if $\forall x_1, \ldots, x_{c+1} \in G : [x_1, \ldots, x_{c+1}] = 1$.

The Fitting length FitLen(G) (nilpotent length) of G is the smallest k such that there are normal subgroups

$$1 = N_0 \lhd N_1 \lhd \cdots \lhd N_k = G$$

with N_i/N_{i-1} nilpotent for all $i = 1, \ldots, k$.

Example

FitLen(S_3) = 2: 1 \triangleleft $C_3 \triangleleft$ S_3 with $S_3/C_3 = C_2$

 $\mathsf{FitLen}(G^*) = 3: \ 1 \lhd (C_3 \times C_3 \times C_3) \lhd (S_3 \times S_3 \times S_3) \lhd G^*$

- $(S_3 \times S_3 \times S_3)/(C_3 \times C_3 \times C_3) = (C_2 \times C_2 \times C_2)$
- $\bullet \quad G^*/(S_3 \times S_3 \times S_3) = C_3$

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Exponential time hypothesis (ETH)

 $\exists \delta > 0$ s.t. every algorithm for 3SAT needs time $\Omega(2^{\delta n})$ (n = number of variables).

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Proof

Exponential time hypothesis (ETH)

 $\exists \delta > 0 \text{ s.t. every algorithm for } 3SAT \text{ needs time } \Omega(2^{\delta n})$ (*n* = number of variables).

Sparsification Lemma (Impagliazzo, Paturi, Zane, 2001)

ETH $\implies \exists \epsilon > 0 \text{ s.t. every algorithm for } 3SAT \text{ needs time } \Omega(2^{\epsilon(m+n)})$ (*m* = number of clauses).



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Exponential time hypothesis (ETH)

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Sparsification Lemma (Impagliazzo, Paturi, Zane, 2001)

ETH $\implies \exists \epsilon > 0 \text{ s.t. every algorithm for } 3SAT \text{ needs time } \Omega(2^{\epsilon(m+n)})$ (*m* = number of clauses).

 \rightsquigarrow no $2^{o(n+m)}$ -time algorithm for 3SAT under ETH.



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Theorem

Let G be finite solvable group and assume that either

FitLen(G) \geq 4, or



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Theorem

Let G be finite solvable group and assume that either

- FitLen(G) \geq 4, or
- FitLen(G) = 3 and there is no Fitting-length-two normal subgroup whose index is a power of two.



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Theorem

Let G be finite solvable group and assume that either

- FitLen(G) \geq 4, or
- FitLen(G) = 3 and there is no Fitting-length-two normal subgroup whose index is a power of two.

Then EQN-SAT(G) and EQN-ID(G) cannot be decided in time $2^{o(\log^2 N)}$ under ETH.

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Theorem

Let G be finite solvable group and assume that either

- FitLen(G) \geq 4, or
- FitLen(G) = 3 and there is no Fitting-length-two normal subgroup whose index is a power of two.

Then EQN-SAT(G) and EQN-ID(G) cannot be decided in time $2^{o(\log^2 N)}$ under ETH.

In particular, EQN-SAT(G) and EQN-ID(G) are not in P under ETH.

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Theorem

Let G be finite solvable group and assume that either

- FitLen(G) \geq 4, or
- FitLen(G) = 3 and there is no Fitting-length-two normal subgroup whose index is a power of two.
- Then EQN-SAT(G) and EQN-ID(G) cannot be decided in time $2^{o(\log^2 N)}$ under ETH.

In particular, EQN-SAT(G) and EQN-ID(G) are not in P under ETH.

What about other groups of Fitting-length three?



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Theorem

Let G be finite solvable group and assume that either

- FitLen(G) \geq 4, or
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In particular, EQN-SAT(G) and EQN-ID(G) are not in P under ETH.

What about other groups of Fitting-length three?

Theorem (Idziak, Kawałek, Krzaczkowski, LICS 2020)

EQN-SAT(S_4) and EQN-ID(S_4) are not in P under ETH.

 $(S_4 = \text{symmetric group on 4 elements})$



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C-COLORING

A *C*-coloring for $C \in \mathbb{N}$ of a graph $\Gamma = (V, E)$ is a map $\chi : V \to [1 .. C]$. A coloring χ valid if $\chi(u) \neq \chi(v)$ whenever $\{u, v\} \in E$.



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C-COLORING

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The *C*-COLORING problem:

Input: given an undirected graph $\Gamma = (V, E)$ **Question:** \exists a valid *C*-coloring of Γ ? Armin Weiß

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C-COLORING

A C-coloring for $C \in \mathbb{N}$ of a graph $\Gamma = (V, E)$ is a map $\chi : V \to [1 .. C]$. A coloring χ valid if $\chi(u) \neq \chi(v)$ whenever $\{u, v\} \in E$.

The *C*-COLORING problem:

Input: given an undirected graph $\Gamma = (V, E)$ **Question:** \exists a valid *C*-coloring of Γ ?

- ▶ NP-complete for $C \ge 3$
- 3-COLORING cannot be solved in time 2^{o(|V|+|E|)} unless ETH fails (see e. g. Cygan, Fomin, Kowalik, Lokshtanov, Marx, Pilipczuk, Pilipczuk, Saurabh, Thm. 14.6).

► ~→ for every C ≥ 3, C-COLORING cannot be solved in time 2^{o(|V|+|E|)} unless ETH fails.

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$$\begin{aligned} \Gamma = (V, E) \text{ graph with } V &= \{1, \dots, n\} \\ E &= \{e_1, \dots, e_m\} \text{ where } e_k = \{i_k, j_k\} \end{aligned}$$



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Proof

$$egin{aligned} \Gamma &= (V,E) ext{ graph with } V = \set{1,\ldots,n} \ E &= \set{e_1,\ldots,e_m} ext{ where } e_k = \{i_k,j_k\} \end{aligned}$$

For every vertex *i* introduce a variable X_i .



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For every vertex *i* introduce a variable X_i .

For every edge
$$e_k = \{i_k, j_k\}$$
 set $\alpha_k = X_{i_k} X_{j_k}^{-1}$.

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• For every vertex *i* introduce a variable
$$X_i$$
.
• For every edge $e_k = \{i_k, j_k\}$ set $\alpha_k = X_{i_k}X_{j_k}^{-1}$.
• Set $\beta = [d, \alpha_1, \dots, \alpha_m] = [\cdots[[d, \alpha_1], \alpha_2], \dots, \alpha_m]$ (recall $d = (123)$).
Claim

$$\beta = d$$
 is satisfiable $\iff \Gamma$ is 2-colorable.

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$$egin{aligned} & \Gamma = (V,E) ext{ graph with } V = \set{1,\ldots,n} \ & E = \set{e_1,\ldots,e_m} ext{ where } e_k = \{i_k,j_k\} \end{aligned}$$

► For every vertex *i* introduce a variable
$$X_i$$
.
► For every edge $e_k = \{i_k, j_k\}$ set $\alpha_k = X_{i_k}X_{j_k}^{-1}$.
► Set $\beta = [d, \alpha_1, \dots, \alpha_m] = [\cdots[[d, \alpha_1], \alpha_2], \dots, \alpha_m]$ (recall $d = (123)$).
Claim
 $\beta = d$ is satisfiable $\iff \Gamma$ is 2-colorable.

Proof.

Recall:
$$C_3 \triangleleft S_3$$
 and $S_3/C_3 = C_2$. Let $\sigma : \{X_1, \ldots, X_n\} \rightarrow G$.

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$$\sigma([d, \alpha_1]) = \begin{cases} 1 & \text{if } \sigma(\alpha_1) \in C_3 \\ d & \text{if } \sigma(\alpha_1) \notin C_3 \end{cases}$$

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Length: $|\beta| \approx 2^m$.
 $[d, \alpha_1] = d^{-1}\alpha_1^{-1}d\alpha_1$

$$\begin{bmatrix} d, \alpha_1 \end{bmatrix} = d^{-1}\alpha_1 \ d\alpha_1 \\ \begin{bmatrix} d, \alpha_1, \alpha_2 \end{bmatrix} = \alpha_1^{-1} d^{-1}\alpha_1 d\alpha_2^{-1} d^{-1}\alpha_1^{-1} d\alpha_1 \alpha_2 \\ \begin{bmatrix} d, \alpha_1, \alpha_2, \alpha_3 \end{bmatrix} = \alpha_2^{-1}\alpha_1^{-1} d^{-1}\alpha_1 d\alpha_2 d^{-1}\alpha_1^{-1} d\alpha_1 \alpha_3^{-1} \alpha_1^{-1} d^{-1}\alpha_1 d\alpha_2^{-1} d^{-1}\alpha_1^{-1} d\alpha_1 \alpha_2 \alpha_3$$

Armin Weiß

Recall: $G^* = (S_3 \times S_3 \times S_3) \rtimes C_3$

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Overview

Groups and commutators

Main Result

Proof

Recall: $G^* = (S_3 \times S_3 \times S_3) \rtimes C_3$ $\Gamma = (V, E)$ graph with $V = \{1, \dots, n\}$, $E = \{e_1, \dots, e_m\}$.



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 $|eta_k| pprox 2^\mu \ \leadsto \ |\gamma| pprox 2^\mu \cdot 2^\mu pprox 2^{2\sqrt{m}}$

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Assume EQN-SAT(G^*) decidable in time $2^{o(\log^2 N)}$ (N = equation length).

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Assume EQN-SAT(G^*) decidable in time $2^{o(\log^2 N)}$ (N = equation length). Then we can solve 3-COLORING in time $2^{o(n+m)}$: with $N = 2^{2\sqrt{m}}$ we have $2^{o(\log^2 2^{2\sqrt{m}})} = 2^{o(\sqrt{m}^2)} = 2^{o(m)}$

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Main Result

Proof

Quasipolynomial lower bound for EQN-SAT(G) and EQN-ID(G) under ETH if G if of Fitting length 3 and complicated enough.



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- Quasipolynomial lower bound for EQN-SAT(G) and EQN-ID(G) under ETH if G if of Fitting length 3 and complicated enough.
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- What about groups of Fitting length two?
- Conjecture: if G is finite solvable, then EQN-SAT(G) and EQN-ID(G) are decidable in quasipolynomial time.

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Thank you!

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